

Date: [Insert Date]

[Recipient Name]

[Recipient Title]

[Brokerage Name]

[Address Line 1]

[Address Line 2]

RE: Notice of Termination of Brokerage Partnership

Dear [Recipient Name],

This letter serves as formal notice that [Your Company Name] is terminating its partnership agreement with [Brokerage Name], effective [Insert Date].

This decision follows a recent review of your firm's operations, which identified significant compliance deficiencies. Specifically, the following areas failed to meet required regulatory standards and the terms of our agreement:

- [List deficiency 1, e.g., Failure to maintain valid licensing]
- [List deficiency 2, e.g., Non-compliance with AML/KYC protocols]
- [List deficiency 3, e.g., Failure to provide required audit documentation]

Due to the nature of these compliance breaches, we are exercising our right to terminate the relationship to mitigate regulatory and legal risk. Please cease all representation of [Your Company Name] and the sale of our insurance products immediately.

Regarding the winding down of our affairs, please ensure the following actions are completed by [Insert Date]:

- Return all proprietary client data and confidential information.
- Provide a final accounting of all pending premiums and commissions.
- Remove all digital and physical branding associated with our company.

Please acknowledge receipt of this letter and confirm the date by which the above actions will be completed.

Sincerely,

[Your Name]

[Your Title]

[Your Company Name]