

# Captive Agent Internal Code of Conduct Acknowledgment

**To:** Compliance Department / Agency Management

**From:** [Agent Name]

**Agent ID:** [Agent ID Number]

**Date:** [Date]

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I, [Agent Name], hereby acknowledge that I have received, read, and fully understand the [Company Name] Internal Code of Conduct. As a captive agent of the company, I agree to comply with all policies, ethical standards, and operational procedures outlined within this document.

By signing this acknowledgment, I specifically commit to:

- Maintaining the highest standards of professional and ethical behavior.
- Representing [Company Name] and its products exclusively and with integrity.
- Protecting the confidentiality of all client data and proprietary company information.
- Adhering to all federal, state, and local insurance regulations.
- Avoiding any conflicts of interest that could compromise my professional judgment.

I understand that any violation of the Internal Code of Conduct may result in disciplinary action, up to and including the termination of my agent contract and appointment with [Company Name].

I further understand that it is my responsibility to seek clarification from my supervisor or the Compliance Department should I have questions regarding any part of the Code of Conduct.

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Agent Signature

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Date