

Date: [Insert Date]

To: [Compliance Department / Agency Name]

From: [Agent Name]

License Number: [Insert License Number]

Subject: Annual Certification of Compliance with Do Not Call (DNC) Regulations

Dear Compliance Officer,

In accordance with company policy and federal/state regulations, I hereby certify that for the period of [Start Date] to [End Date], I have complied with the requirements of the National Do Not Call Registry, the Telephone Consumer Protection Act (TCPA), and any applicable state-specific telemarketing laws.

I specifically certify that I have:

- Accessed the National Do Not Call Registry and internal suppression lists prior to making any outbound solicitation calls.
- Maintained an updated internal "Do Not Call" list for individuals who have requested not to be contacted.
- Followed established procedures for verifying "Established Business Relationships" (EBR) or obtaining prior express written consent before calling numbers on the registry.
- Identified myself and the purpose of the call clearly at the beginning of all telephonic communications.
- Adhered to restricted calling hours as defined by law.

I understand that any violation of DNC regulations may result in disciplinary action or termination of my appointment, as well as potential legal penalties from regulatory bodies.

Signature: _____

Printed Name: [Insert Name]

Date: [Insert Date]