

[Date]

[Producer Name]

[Producer Address]

[City, State, Zip Code]

Re: Acknowledgment of Fiduciary Obligations and Professional Standards

Dear [Producer Name],

In connection with your appointment as a producer for [Company Name], this letter serves to confirm your understanding and acceptance of your fiduciary duties and ethical obligations to our clients.

By signing this document, you acknowledge and agree to the following:

- **Client Best Interest:** You agree to act in the best interest of the client at all times, prioritizing their needs and financial objectives above your own or the firm's interests.
- **Duty of Care:** You will exercise due diligence, skill, and care in providing recommendations and managing client accounts.
- **Duty of Loyalty:** You will avoid conflicts of interest. If a conflict arises, you must provide full and fair disclosure to the client.
- **Full Disclosure:** You agree to provide clear and accurate information regarding fees, commissions, risks, and any material facts related to insurance or investment products.
- **Regulatory Compliance:** You will comply with all federal and state laws, as well as the internal compliance policies of [Company Name].

Please sign and return a copy of this letter to the Compliance Department to signify your commitment to these standards.

Sincerely,

[Authorized Name]

[Title]

[Company Name]

Producer Acknowledgment

I have read, understand, and accept the fiduciary obligations outlined above.

Signature: _____ Date: _____

Printed Name: [Producer Name]