

Fiduciary Duty and Code of Ethics Acknowledgment

To: [Company Name]

From: [Producer Name]

Date: [Date]

I, [Producer Name], hereby acknowledge that I have received, read, and understood the [Company Name] Code of Ethics and the standards regarding Fiduciary Duty.

By signing this document, I agree to the following:

- I will act in the best interest of the client at all times, placing their interests above my own and those of the company.
- I will disclose any potential or actual conflicts of interest that may arise during the course of my duties.
- I will provide clear, accurate, and transparent information regarding products, fees, and risks to all clients.
- I will maintain the confidentiality of client information in accordance with applicable laws and company policy.
- I will comply with all federal and state regulations governing my professional conduct.

I understand that any violation of these standards or the Code of Ethics may result in disciplinary action, including termination of my contract or legal proceedings.

Producer Signature

Printed Name

Date